



CertCollector

Retail Tax Solutions

EXEMPTION CERTIFICATE Management
From Time of Sale to Audit.

from Tax Technology Services, LLC.

Get the Right Certificates – at the Right Time

PROBLEM: Sales Tax Audit Penalties resulting from a lack of valid Exemption Certificates (EC) on file. Today's audit assessments and penalties are often extrapolated from small samples, so each tax-exempt transaction is susceptible to audit review. Meanwhile, your retail customers expect their tax-exempt transactions to be processed quickly and smoothly. The quality buying experience must be preserved.

So how is the exemption documentation – AND Signature – processed quickly and accurately so that it will stand the test of audits? Manual methods are time-consuming and error-prone. Point-of-Sale systems do not address this issue. Customized systems are cost-prohibitive. So how can the problem be addressed?

Now there is a system that processes Exemption Certificates easily with step-by-step menu options that ensure the right Certificate is being used. There is a system that allows the POS system to complete the sale easily. There is a system that is monitored by your Tax Department at all times to maximize Sales Tax compliance with effortless updates from a trusted 3rd party.



CertCollector ... is the system – and is much more.

CertCollector answers the dilemma by pro-actively prompting for certificates and automating the submittal in a distributed environment. Built-in areas for client configuration assure that it fits in to any retailers sales process and technology.

CertCollector Highlights:

- Light Software Application for distributed use.
- Easy to use - Choose certificates from easy-to-follow menu options.
- Select from a pre-approved list that is controlled by Corporate Tax Management.
- Signature pad options for signature capture. **REQUIRED IN MANY STATES.**
- Submit the accurate Exemption Certificate in real-time.
- Print out copies for retail customers on request.
- Maintain copies locally for future reference.
- Exports exemption data to point of sale, ERP, or Tax software.
- Automatically sends Certificates and data to CertCapture for immediate access.
- Centralized administration and control for ease of maintenance.

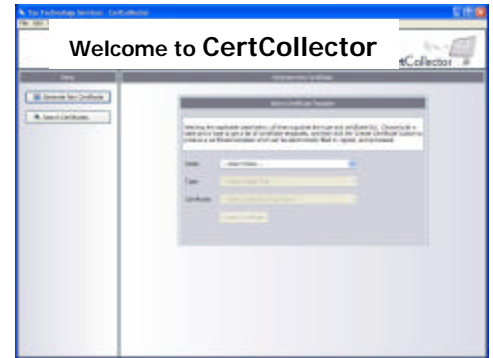
CertCollector is a complete solution from POS to audit.



CertCollector Features

Retail organizations demand more than cost-effective processes – They demand compliant and secure processes that are easy to use and easy on the resources.

- **Search** – A quick look-up is available to see what is already on file which eliminates duplicate effort.
- **Customizable Configuration** – The Welcome Message, Available Certificates, plus all the colors and the feel are customizable to reflect your Company branding.
- **Gets the signature** – Signature Pad enabled to get the signature. This requirement should not be over-looked and is not available elsewhere.
- **Interface to POS or Tax software** – CertCollector exports exemption data directly to *your* POS for fast and seamless transactions at the time of sale. CertCollector works with Taxware or other Tax software so that exemptions are tracked through original receipt or invoice.
- **CertCapture Integration**- Gathering the certificates properly is only half the battle. CertCollector completes the ECM process by sending each submitted EC to CertCapture for reporting, audit support and ad hoc access. See below.



CertCollector Benefits

- **Better States Sales Tax Regulation Compliance** – Since only approved Certificates are used, compliance is increased and measured.
- **Accurate and Immediate Documentation** – Data flows in from stores automatically and immediately. Your Certificate database grows with valid and current certificates.
- **Reduced Audit Exposure** – Certificates are collected more consistently, and 100% stored in CertCapture for easy access in audits. Exposure can be measured and reduced under a managed plan.
- **Reduced (or no) effort in tracking down Certificates** - By getting the right certificate the first time, customers are not badgered for historical certificates in an audit. The certificates are on file and ready for review and reporting.
- **Cost-effective** – The software is licensed by store with a small per-instance fee. Volume discounts are available.

CertCollector Technology – Built for Retail

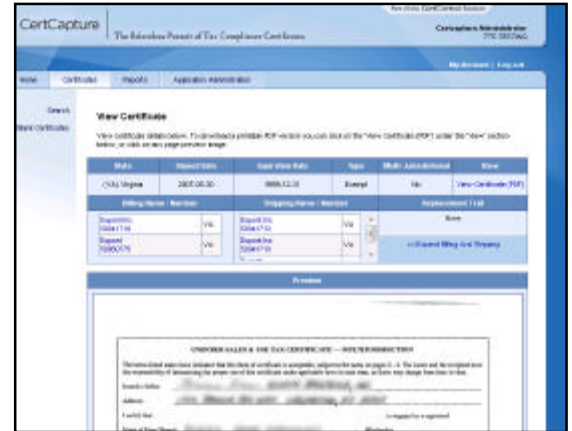
Certificate Processing Technology at the Point-of-Sale must be resource efficient and easy to administer. The entire system is designed for maximum availability and performance on every level. CertCollector's size, design, platform flexibility and central control keeps store issues to a minimum while the Tax Dept controls everything. **Here are some Technology highlights:**

- **Local Processing** – To minimize web dependency and maximize local control, CertCollector is an application to be executed locally, yet exchange information automatically with the Central repository (CertCapture). This design is far superior to web-only.
- **Small footprint** – CertCollector's tiny size can run from any hard drive – or even from "thumb drives".
- **Platform flexibility** – CertCollector is written in Java to allow flexibility in processing on any major platform or operating system. Requires JRT 11.0.0.5.
- **Real-time updates available** – Certificate changes can happen at anytime due to law changes in hundreds of jurisdictions. CertCollector allows the Corporate Tax Department to deploy (or restrict) EC's from a central console as they become available.
- **Rapid Implementation** - CertCollector can be distributed by a variety of methods and requires little to no training.
- **Reduced Audit Exposure** – Certificates are collected more consistently, and 100% stored in CertCapture for easy access in audits. Exposure can be measured and reduced under a managed plan.

CertCapture ECM Integration

CertCapture organizes and stores all certificates processed from CertCollector and any other source such as email, fax, or mail. It is the central repository for the new era of pro-active certificate management.

CertCapture is both a set of managed services and powerful web-based tools to maximize the effectiveness of Certificate management for the purpose of audit support. There are no software or hardware fees or any client technology considerations since it is a hosted service, which requires little or no effort to implement. All leading security protections are included such as encrypted data, strong password requirements, role-based user administration and **SAS70** -certified.

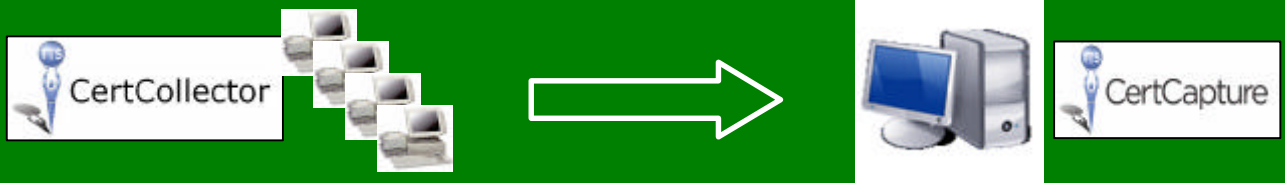


CertCapture Highlights:

- **Easy Web-based Access and Search of your Exemption and Resale Certificates.**
- **Includes Tax Expertise and experience from numerous audits.**
- **Solution includes Data Base Set-Up, Scanning, Validation, and Indexing of documents**
- **Solution includes Audit Logins to ease**
- **Forms Library**
- **Mailing Services**
- **Rapid Deployment**

Exemption Processing for Retail locations

AND for the Corporate Tax Department



About Us –



Tax Technology Services, LLC (TTS) is a growing Tax Services company experienced in all areas of State Sales Tax Compliance. TTS uses an advanced technology approach to providing Certificate automation which overcomes traditional roadblocks such as systems incompatibility, long time to develop and difficulty of maintenance. TTS was founded on the basis of managing tax risk and superior customer service – all with bundled pricing that is all inclusive. TTS is a member of SSTP sub-committee on ECs, COST, ACT, IPT, and other industry associations.



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